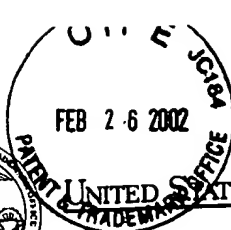


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UNITED STATES PATENT AND TRADEMARK OFFICE

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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/904,039 | 07/12/2001 | Shoulian Dong | 3218.2A |

CONFIRMATION NO. 3123

FORMALITIES LETTER

OC000000007264454

22886
AFFYMETRIX, INC
ATTN: CHIEF IP COUNSEL, LEGAL DEPT.
3380 CENTRAL EXPRESSWAY
SANTA CLARA, CA 95051

Date Mailed: 01/04/2002

NOTICE TO FILE CORRECTED APPLICATION PAPERS

Filing Date Granted

2092220" 65040660
This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

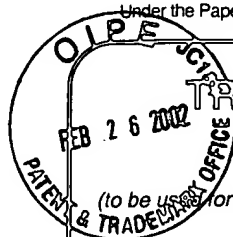
PART 2 - COPY TO BE RETURNED WITH RESPONSE

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TRANSMITTAL
FORM

(to be used for all correspondence after initial filing)

| | | |
|--|----|--------------------------------|
| Application Number | | 09/904,039 |
| Filing Date | | 07/12/2001 |
| First Named Inventor | | Dong |
| Group Art Unit | | |
| Examiner Name | | |
| Total Number of Pages in This Submission | 10 | Attorney Docket Number 3218.2A |

ENCLOSURES (check all that apply)

| | | | | |
|---|---|--|---------|--|
| <input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) | <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below): | | |
| <table border="1"><tr><td>Remarks</td><td></td></tr></table> | | | Remarks | |
| Remarks | | | | |

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

| | |
|-------------------------|---------------------------|
| Firm or Individual name | Wei Zhou, Reg. No. 44,419 |
| Signature | |
| Date | February 7, 2002 |

CERTIFICATE OF MAILING

| | | | |
|---|--------------|------|------------------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: February 8, 2002 | | | |
| Typed or printed name | Sandra Wells | | |
| Signature | | Date | February 7, 2002 |

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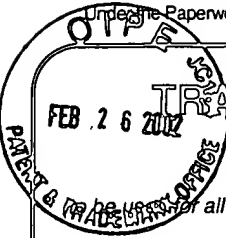
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TRANSMITTAL FORM

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| | |
|------------------------|------------|
| Application Number | 09/904,039 |
| Filing Date | 07/12/2001 |
| First Named Inventor | Dong |
| Group Art Unit | |
| Examiner Name | |
| Attorney Docket Number | 3218.2A |

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Total Number of Pages in This Submission

30

ENCLOSURES (check all that apply)

☐ Fee Transmittal Form

☐ Fee Attached

☐ Amendment / Response

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☐ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☒ Response to Missing Parts/
Incomplete Application

☐ Response to Missing
Parts under 37 CFR
1.52 or 1.53

☐ Assignment Papers
(for an Application)

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition

☐ Petition to Convert to a
Provisional Application

☐ Power of Attorney, Revocation
Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s)

☐ After Allowance Communication to
Group

☐ Appeal Communication to Board of
Appeals and Interferences

☐ Appeal Communication to Group
(Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Other Enclosure(s)
(please identify below):

16 pages of formal drawings
Copy of Preliminary Amendment

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm
or
Individual name

Wei Zhou, Reg. No. 44,419

Signature

Date

February 7, 2002

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: February 8, 2002

Typed or printed name Sandra Wells

Signature

Date

February 7, 2002

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PATENT

Docket No. 3218.2A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Xing SU et al.

Serial No: 09/904,039

Filing Date: July 12, 2001

Title: COMPLEXITY MANAGEMENT
AND ANALYSIS OF GENOMIC
DNA

Examiner

Group Art Unit:

RESPONSE TO NOTICE TO FILE
CORRECTED APPLICATION
PAPERS

Commissioner for Patents
Washington, D.C. 20231

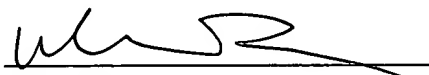
Sir:

In response to a Notice to File Corrected Application Papers mailed 01/04/2002 please substitute new figures 1-18, submitted herewith, for original figures 1-18. Figures 19A and B have been deleted in a preliminary amendment filed on 7 February, 2002. A copy of the preliminary amendment is included herewith. The figures have been changed to correct informalities in the original figures. Applicants assert that no new matter has been added by these substitutions.

The Commissioner is hereby authorized to charge any fees associated with this paper to Deposit Account No. 01-0431.

Respectfully submitted,

7 February, 2002


Wei Zhou
Reg. No. 44,419

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3380 Central Expressway
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